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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

| Complete if Known       |                    |
|-------------------------|--------------------|
| Application Number:     | 10/828997          |
| Filing Date:            | April 20, 2004     |
| First Named Inventor:   | CALDWELL, David W. |
| Group Art Unit:         | 3729               |
| Confirmation Number:    | 6796               |
| Examiner Name:          | Arbes, Carl J.     |
| Attorney Docket Number: | 49256-109423       |

### U.S. PATENT DOCUMENTS

| Examiner Initials | Doc. No. | U.S. Patent Document | Application or Patent Number | Kind Code | Name of Patentee or Applicant | Date of Publication | Filing Date If Appropriate |
|-------------------|----------|----------------------|------------------------------|-----------|-------------------------------|---------------------|----------------------------|
|                   |          |                      |                              |           |                               |                     |                            |
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### FOREIGN PATENT DOCUMENTS

| Examiner Initials | Doc. No. | Office      | Foreign Patent Document | Application or Patent Number | Kind Code | Name of Patentee or Applicant  | Date of Publication | Translation |
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| A P               | JP       | 05-114329   |                         |                              |           | Nisssha Printing Co. Ltd.      | May 7, 1993         |             |
| A Q               | JP       | 06-242875   |                         |                              |           | American Telephone & Telegraph | Feb. 9, 1994        |             |
| A R               | JP       | 59-119429   |                         | U                            |           | NEC Home Elec. Corp.           | Aug. 11, 1984       | X           |
| A S               | JP       | 2001-503205 |                         |                              |           | Integrated Controls Tech       | Jun. 3, 2001        | X+          |
| A T               | WO       | 96/013098   |                         |                              |           | Integrated Controls Tech       | May 2, 1996         |             |
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### OTHER - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Doc. No. | Other - Non Patent Literature Documents  | Translation               |
|-------------------|----------|--|---------------------------|
|                   |          | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published. | Yes      No* <sup>+</sup> |
| A U               |          | Office Action issued Mar. 26, 2009, in related application no. AU 2004232038 (2009).   |                           |
| A V               |          | Office Action issued Apr. 15, 2009, in related application no. AU 2004231587 (2009).   |                           |
| A W               |          | Office Action issued Aug. 7, 2007, in related application no. EP04760080 (2007).   |                           |
| A X               |          | Office Action issued Nov. 17, 2006, in related application no. EP04760119 (2006).  |                           |
| A Y               |          | Office Action issued Apr. 21, 2008, in related application no. EP04760119 (2008).  |                           |
| A Z               |          | Summons of Oral Proceedings issued Apr. 12, 2010, in related app. no. EP04760119 (2010).   |                           |
| B A               |          | Office Action issued Sep. 23, 2008, in related app. no. IN 2037/KOLNP/2005-C (2008).   |                           |
| B B               |          | Office Action issued Sep. 17, 2009, in related app. no. IN 2037/KOLNP/2005-C (2009).   |                           |
| B C               |          | Office Action issued Jul. 10, 2009, in related application no. JP 2006-513217 (2009).  | X                         |
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| B E               |          | Office Action issued Jul. 20, 2007, in related patent no. NZ543242 (2007).   |                           |
| B F               |          | Office Action issued Mar. 22, 2007, in related patent no. NZ543243 (2007).   |                           |
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| EXAMINER | DATE CONSIDERED |
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\* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).